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2123\$

DOCKET NO.: 8594-001-64

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Re: Serial No.: 09/453,509
Applicant(s): Anthony BEVERINA et al.
Filing Date: December 3, 1999
For: METHOD AND APPARATUS FOR RISK MANAGEMENT
Group Art Unit: 2123
Examiner: S. BRODA

SIR:

Attached hereto for filing are the following papers:

Fee Transmittal
Request for Extension of Time (Two (2) months)
Amendment (including attachment and Exhibit A)
Information Disclosure Statement
PTO-1449
Cited Documents (5)

RECEIVED
JUL 17 2001
Technology Center 2100

Our check in the amount of \$195.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

Steven B. Zelber
Attorney of Record
Registration No.: 30,073

Raymond Millien
Registration No.: 43,806



FEE TRANSMITTAL

Docket No.	8594-001-64
Serial No.	09/453,509
Filing Date	December 3, 1999
Inventor(s)	Anthony BEVERINA et al.
Group Art Unit	2123
Examiner	S. BRODA

TOTAL AMOUNT OF PAYMENT \$195.00

1. ☒ Applicant claims small entity status.
- ☒ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

2. ☒ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid
					105	130	205	65	Surcharge-late filing fee or oath
					127	50	227	25	Surcharge-late provisional filing fee or cover sheet
					139	130	139	130	Non-English specification
					147	2520	147	2520	Ex parte reexam. fee
101	710	201	355	Utility filing fee	115	110	215	55	1-mo. ext. of time
106	320	206	160	Design filing fee	116	390	216	195	2-mo. ext. of time
107	490	207	245	Plant filing fee	117	890	217	445	3-mo. ext. of time
108	710	208	355	Reissue filing fee	118	1390	218	695	4-mo. ext. of time
114	150	214	75	Provisional filing fee	128	1890	228	945	5-mo. ext. of time
SUBTOTAL (1)					119	310	219	155	Notice of Appeal

2. EXTRA CLAIM FEES

tot. claims			-	20*	=	0	x	\$9	=	0	121	270	221	135	Request for Oral Hearing	
ind. claims			-	3*	=	0	x	\$40	=	0	142	1240	242	620	Utility/Reissue Issue Fee	
<input type="checkbox"/>	Multiple Dependent Claims							\$135	=		143	440	243	220	Design Issue Fee	
Large Entity		Small Entity				Fee Description					144	600	244	300	Plant Issue Fee	
Fee Code	Fee (\$)	Fee Code	Fee (\$)						122	130	122	130	Petitions to the Commissioner			
103	180	203	9	Claims in excess of 20					126	180	126	180	IDS Submission			
102	80	202	40	Independent claims in excess of 3					581	40	581	40	Assignment			
104	270	204	135	Multiple dependent claim, if not paid					179	710	279	355	For Filing RCE			
109	80	209	40	*Reissue independent claims over original patent					169	900	169	900	Expedited Design			
110	18	210	9	*Reissue claims in excess of 20 and over original patent					OTHER (indicate below):							

SUBTOTAL (2) \$0.00

* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) \$195.00

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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	Telephone 202-861-3900
Name	Raymond Millien	Registration No.	43,806